

Policy Statement for NCAA Violations

In accordance with NCAA rules and regulations, all suspected or alleged violations of NCAA rules shall be investigated. If the Director of Athletic Compliance determines that a violation has occurred, the Director of Athletic Compliance or the Assistant Director of Athletic Compliance will report the violation (or possible violation) to the NCAA national office and/or appropriate conference officials.

Reporting Violations

Any individual may report an alleged, rumored, or suspected violation verbally or in writing. The individual may report an alleged violation anonymously. If an alleged or rumored violation is communicated to any athletic department staff member, the staff member has an obligation to notify the Office of Athletic Compliance of the violation in a timely manner. Once an alleged rumor has been communicated to the Office of Athletic Compliance, the Director of Athletic Compliance will begin the investigation process in conjunction with the University's legal counsel. The Office of Athletic Compliance will maintain a written record of all alleged violations with the following information:

- Date that the alleged violation was reported to the Director and by whom the violation was reported.
- Detailed summary of the nature of the alleged violation, including the names of all persons involved in the alleged violation.
- Chronology of actions taken by the University in the investigation of the alleged violation.
- Rationale for concluding whether the allegation was or was not determined to be a violation of NCAA regulations.
- If a violation has occurred, the Director will keep on file a copy of the report of the violation and subsequent correspondence with the Conference and the NCAA staff. Copies of the self-report will be sent to the following: Senior Vice President for Business, Finance, and Administrative Services; Director of Athletics; Faculty Athletics Representative; University Legal Counsel; the Conference Office; and involved staff member(s).

- The Office of Athletic Compliance will maintain the reports of alleged violations for a period of six years. Records and reports of confirmed violations will be maintained in the office's permanent files.
- Any alleged violations regarding a student-athlete or a prospective student-athlete that are potential major violations, or academic matters or eligibility violations, will be immediately brought to the attention of the Senior Vice President for Business Finance and Administrative Services, the Director of Athletics and the General Counsel.

Investigation of Alleged Violation

The Director of Athletic Compliance is responsible for conducting the investigation of an alleged violation with the Senior Vice President for Business, Finance, and Administrative Services overseeing the investigation. The Director of Athletic Compliance or Senior Vice President for Business, Finance, and Administrative Services, and Administrative Services may request the assistance of the Faculty Athletics Representative, the Faculty Oversight Committee, and the Office of Audit and Management Advisory Services to conduct or to assist the conduct of an investigation into an alleged violation. After the investigation, if a violation has occurred by the Director of Athletic Compliance, it is the responsibility of the Director of Athletic Compliance to determine what weight and credibility will be assessed with the violation following the guidance of NCAA rules. Preceding an investigation where a violation is found the Office of Athletic Communication will complete a self-report and forward it to the NCAA Enforcement staff. The self-report will include:

- The date and location of the violation.
- The identities of involved student-athletes, coaches, staff members and any other individuals involved in the violation.
- The means by which the institution became aware of this information. Note: If a newspaper article alerted the institution, a copy of the article shall be included.
- The cause of the violation (e.g., lack of knowledge, human error, etc.), an explanation of the circumstances surrounding the violation and any mitigating circumstances that may exist.
- A list of corrective and/or punitive actions taken by the institution in response to the violation.

- The institution's position regarding the violation and surrounding circumstances. The institution will indicate whether it believes a violation occurred and the specific legislation it believes has been violated.

Violation Involving Other NCAA Institutions

Any information of an alleged violation that involves another NCAA member institution and impacts Syracuse University report the alleged violation(s) to the Director of Athletic Compliance and/or the Director of Athletics. The Director of Athletic Compliance will discuss the violation and desired plan of action to address the situation with the Director of Athletics. The Office of Athletic Compliance may then contact the athletics director at the accused member institution to request that the alleged violation be investigated. The Director of Athletic Compliance in conjunction with the Director of Athletics may contact the NCAA staff or Conference office and request that the Conference office or NCAA staff contact the accused institution or that institution's conference.

Additional Questions

It is extremely important for Syracuse University that its employees be aware of and abide by all NCAA regulations. If an employee has any questions regarding NCAA rules, they should contact an athletic administrator or the Office of Athletic Compliance before doing something that may jeopardize a student-athlete's eligibility to participate in intercollegiate athletics. Don't be afraid to ask! The University wants to ensure success both on and off the fields and/or courts; but, everyone's full cooperation is needed to ensure that SU wins the right way.