

# Supplier Code of Conduct

Syracuse University is committed to conducting its procurement and disbursement business activities in an ethical, legal, and socially responsible manner. Syracuse University expects all its suppliers to share this commitment and, therefore, has established this “Supplier Code of Conduct”. All suppliers are expected to adhere to the following requirements to do business with Syracuse University.

## **Conduct**

The University is a diverse academic institution, and it is critical that all contractors and their employees conduct themselves in a manner that is lawful, courteous, businesslike, and respectful of all students, staff, faculty, guests, or visitors. Contractors and their employees cannot engage in behavior that is rude, threatening, or offensive. Use of profane or insulting language is prohibited. Harassment of any type, including sexual harassment is strictly prohibited. Abusive, derogatory, obscene, or improper language or other disrespectful behavior cannot be tolerated.

## **Compliance with Laws, Regulations and Published Standards**

All suppliers to Syracuse University must comply with all applicable laws, codes or regulations of the countries, states, and localities in which they operate. This includes, but is not limited to, laws and regulations relating to environmental, occupational health and safety, and labor practices. In addition, all Syracuse University suppliers should encourage their suppliers (including temporary labor agencies) to do the same.

## **Environmental Practices**

All suppliers to Syracuse University shall comply with all environmental laws and regulations applicable to their operations worldwide. Such compliance shall include, but not be limited to the following items:

- Obtaining and maintaining environmental permits and timely filing of required reports
- Proper handling and disposition of hazardous materials
- Monitoring, controlling, and treating discharges generated from operations

## **Occupational Health and Safety Practices**

All suppliers to Syracuse University are expected to provide their employees with a safe and healthy working environment to prevent accidents and injury to health arising out of, linked with, or occurring in the course of work or because of the operation of the supplier. Suppliers shall, among other things, provide:

- Occupational health and safety training
- A system for injury and illness reporting
- Medical treatment and/or compensation to injured/ill workers arising as a result of working for supplier
- Machine safeguarding and other protective measures to prevent injuries/illnesses to workers
- Clean and safe facilities

## **Labor Practices**

All suppliers to Syracuse University are expected to adopt sound labor practices and treat their workers fairly in accordance with local laws and regulations. In addition, suppliers must comply with the following standards:

- **Freely Chosen Employment** - Suppliers shall not use any forced labor, whether in the form of prison labor, indentured labor, bonded labor or otherwise.

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- **No Child Labor** - Suppliers shall comply with local minimum working age laws and requirements and not employ child labor.
- **Minimum Wages** - Suppliers shall provide wages for regular and overtime work and benefits that meet or exceed legal requirements.
- **Working Hours** - Suppliers shall not require workers to work more than the maximum hours of daily labor set by local laws.
- **No Harsh, Inhumane Treatment or Abuse** - Suppliers shall treat each employee with dignity and respect. In no event shall Supplier's workers be subject to threats of violence, physical punishment, confinement, or other form of physical, sexual, psychological, or verbal harassment or abuse.
- **No Discrimination** - Suppliers shall not discriminate in its employment practices based on race, color, religion, sex, age, physical disability, national origin, creed or any other basis prohibited by law.
- **Freedom of Association** - Suppliers shall recognize and respect the rights of its workers to organize in labor unions in accordance with local labor laws and established practices.

### **Ethical Business Practices**

All suppliers to Syracuse University are expected to conduct their businesses in accordance with the highest standards of ethical behavior and in accordance with applicable laws and regulations. Suppliers are expected to conform to these requirements in each of the following areas:

- **Fair Trade Practices** - Suppliers shall not engage in collusive bidding, price fixing, price discrimination or other unfair trade practices in violation of antitrust laws.
- **Bribery, Kickbacks and Fraud** - No funds or assets of the supplier shall be paid, loaned or otherwise disbursed as bribes, "kickbacks", or other payments designed to influence or compromise the conduct of the Syracuse University
- **Foreign Corrupt Practices Act** - While laws and customs vary throughout the world, all suppliers must comply with foreign legal requirements and United States laws that apply to foreign operations, including the Foreign Corrupt Practices Act. The Foreign Corrupt Practices Act generally makes it unlawful to give anything of value to foreign government officials, foreign political parties, party officials, or candidates for public office for the purposes of obtaining or retaining business.
- **Syracuse University Policies and Procedures** - Suppliers must comply with the Syracuse University's published procurement policies and related procedures, including, but not limited to, the University's Conflict of Interest and Procurement Code of Ethics statements.
- **Intellectual Property Rights** - Suppliers shall respect the intellectual property rights of others, especially the Syracuse University, its affiliates and business partners. Suppliers shall take appropriate steps to safeguard and maintain confidential and proprietary information of the Syracuse University and shall use such information only for the purposes specified for use by the Syracuse University. Suppliers shall observe and respect all Syracuse University patents, trademarks and copyrights and comply with all requirements as to their use as established by the Syracuse University. Suppliers shall not transmit confidential or proprietary information of the Syracuse University via the internet unless such information is encrypted in accordance with minimum standards established by Syracuse University.

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## **Export Sanctions and Terrorism Activities**

All suppliers to Syracuse University must abide by all economic sanctions or trade embargoes that the United States has adopted, whether they apply to foreign countries, political organizations or particular foreign individuals and entities. Suppliers should not directly or indirectly engage in or support any terrorist activity. Neither suppliers nor any of their affiliates, nor any officer or director of the supplier or any of its affiliates, should be included on any lists of terrorists or terrorist organizations compiled by the United States government or any other national or international body, including but not limited to: (i) the U.S. Treasury Department's Specially Designated Nationals List, (ii) the U.S. State Department's Terrorist Exclusion List, (iii) the United Nations List Pursuant to Security Council Resolution 1390 (2002) and Paragraphs 4(B) or Resolution 1267(1999) and 8(C) of Resolution 1333 (2000), and (iv) the European Union List Implementing Article (2)(3) of Regulation (EC) No. 2580/2001 on Specific Restrictive Measures Directed Against Certain Persons and Entities with a View to Combating Terrorism.

## **Unauthorized Solicitations**

All suppliers to Syracuse University must comply with all guidelines issued by the Syracuse University relating to access to facilities, offices and departments, and employees. No Supplier shall use the Syracuse University's computer system, including its electronic mail system and internet site, for the purpose of sending unsolicited electronic mail messages to the Syracuse University community. Suppliers are not permitted to use the Syracuse University's intramural mail system for unauthorized solicitation to employees. Suppliers must receive prior written authorization from the Syracuse University's Director of Procurement to hold on-campus trade shows, exhibits, or product demonstrations.

## **Monitoring and Compliance**

All suppliers to Syracuse University must conduct audits and inspections to insure their compliance with this Supplier Code of Conduct and applicable legal requirements. If a supplier identifies areas of non-compliance, the supplier agrees to notify the Supply Management Department as to its plans to remedy any such non-compliance.

Syracuse University or its representatives may engage in monitoring activities to confirm Supplier's compliance to this Supplier Code of Conduct, including on-site inspections of facilities, use of questionnaires, review of publicly available information, or other measures necessary to assess supplier's performance. Any Syracuse University supplier or Syracuse University employee that becomes aware of violations of this policy is obligated to notify the Supply Management Department. Based on the assessment of information made available to the Syracuse University, Syracuse University reserves all rights legal and contractual, to disqualify any potential supplier, or terminate any current supplier found to be in violation of this Supplier Code of Conduct without liability to the Syracuse University.